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Grancharov et al.

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(54) **AUDIO ENCODING/DECODING BASED ON
AN EFFICIENT REPRESENTATION OF
AUTO-REGRESSIVE COEFFICIENTS**

USPC 704/500, 501, 502, 503, 504
See application file for complete search history.

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Related U.S. Application Data

(57) **ABSTRACT**

(60) Provisional application No. 61/554,647, filed on Nov.
2, 2011.

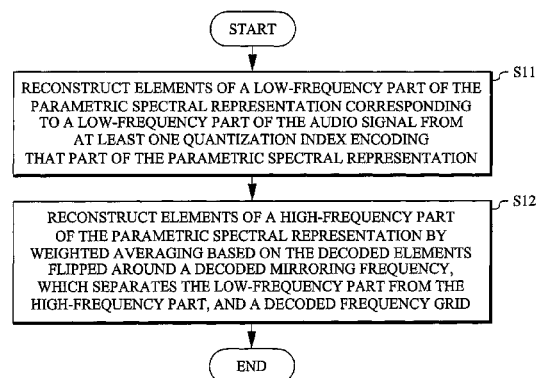
Described is an encoder (50) for encoding a parametric spec-
tral representation (f) of auto-regressive coefficients that par-
tially represent an audio signal. The encoder includes a low-
frequency encoder (10) configured to quantize elements of a
part of the parametric spectral representation that correspond
to a low-frequency part of the audio signal. It also includes a
high-frequency encoder (12) configured to encode a high-
frequency part (f^H) of the parametric spectral representation
(f) by weighted averaging based on the quantized elements
(f^L) flipped around a quantized mirroring frequency (f_m),
which separates the low-frequency part from the high-fre-
quency part, and a frequency grid determined from a fre-
quency grid codebook (24) in a closed-loop search procedure.
Described are also a corresponding decoder, corresponding
encoding/decoding methods and UEs including such an
encoder/decoder.

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G10L 19/032 (2013.01)
(Continued)

(52) **U.S. Cl.**
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(2013.01); **G10L 19/0204** (2013.01)

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G10L 21/02; G10L 21/0364; G10L 25/69;
G10L 21/00

34 Claims, 10 Drawing Sheets



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G10L 19/02 (2013.01)

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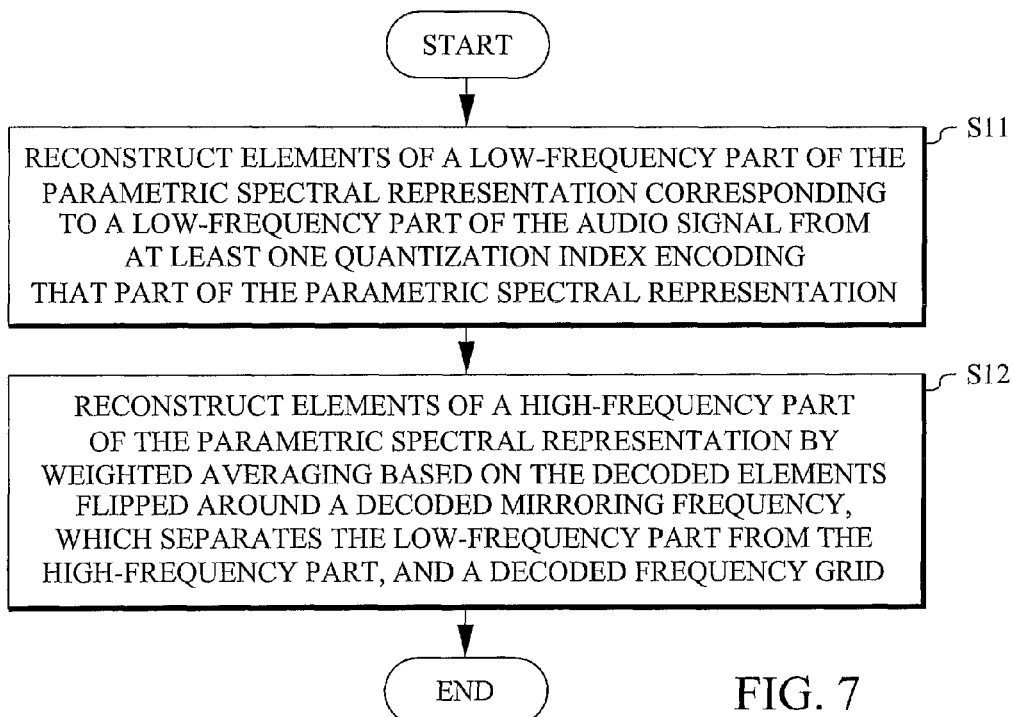
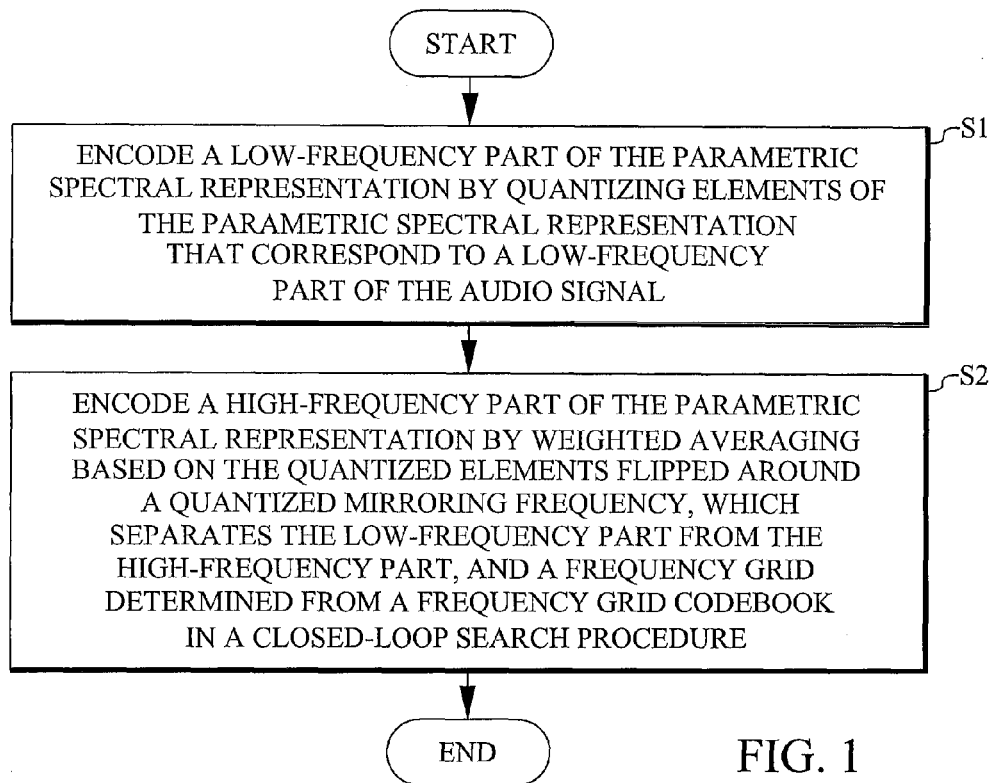
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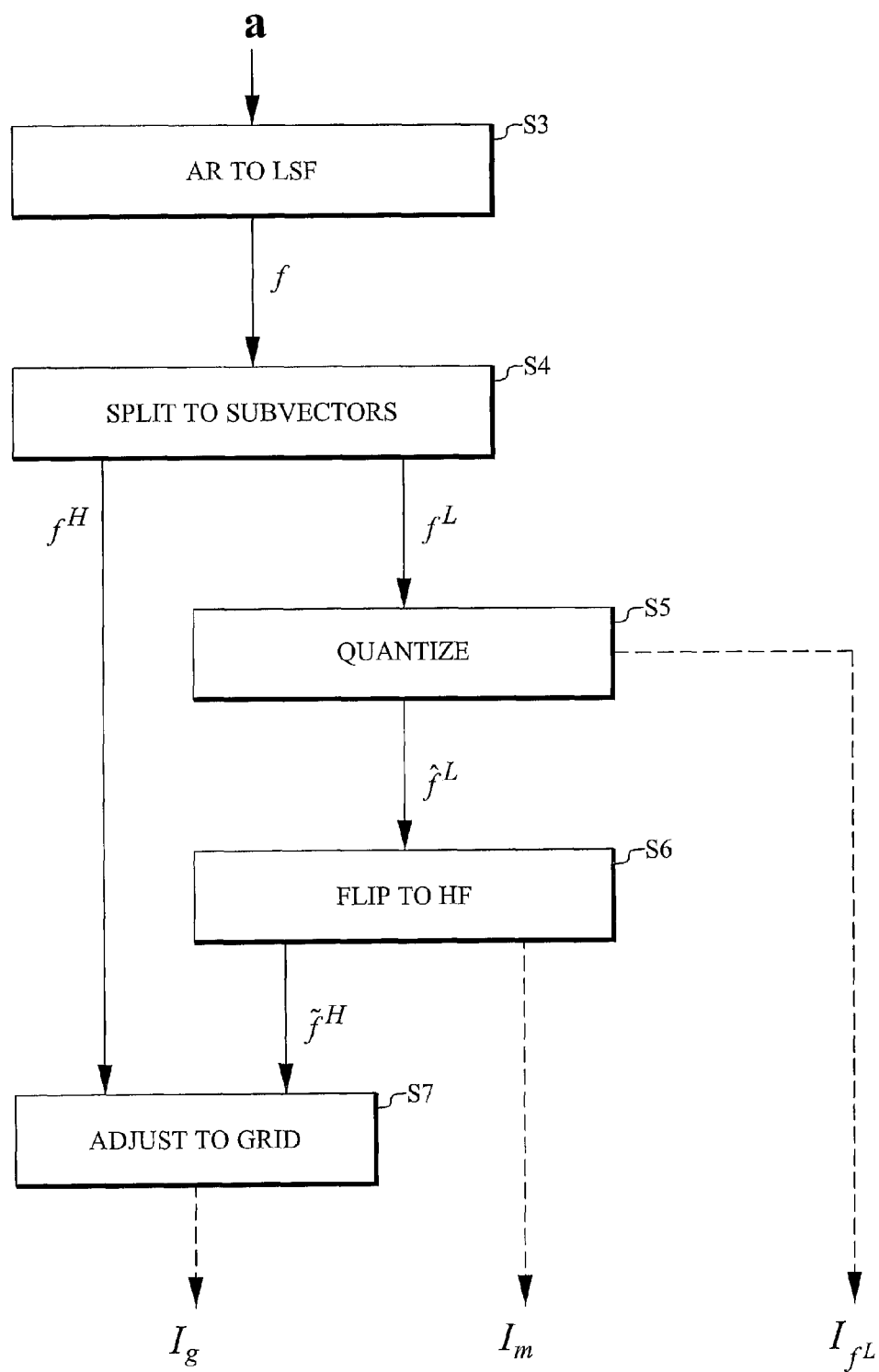


FIG. 2

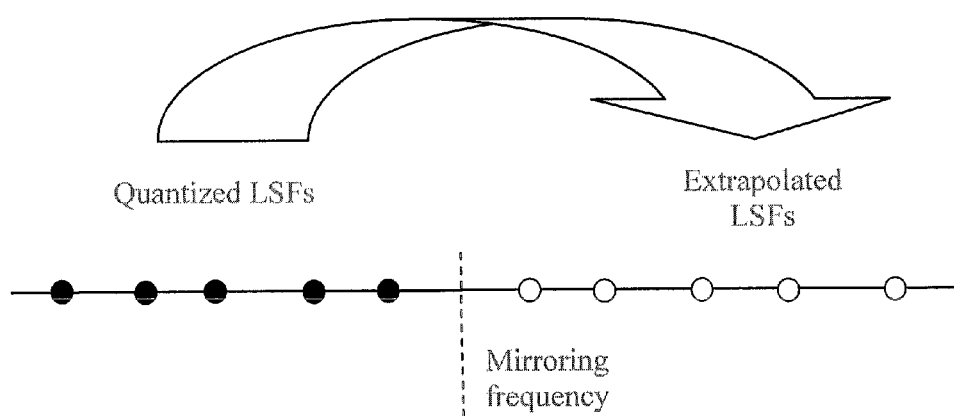


FIG. 3

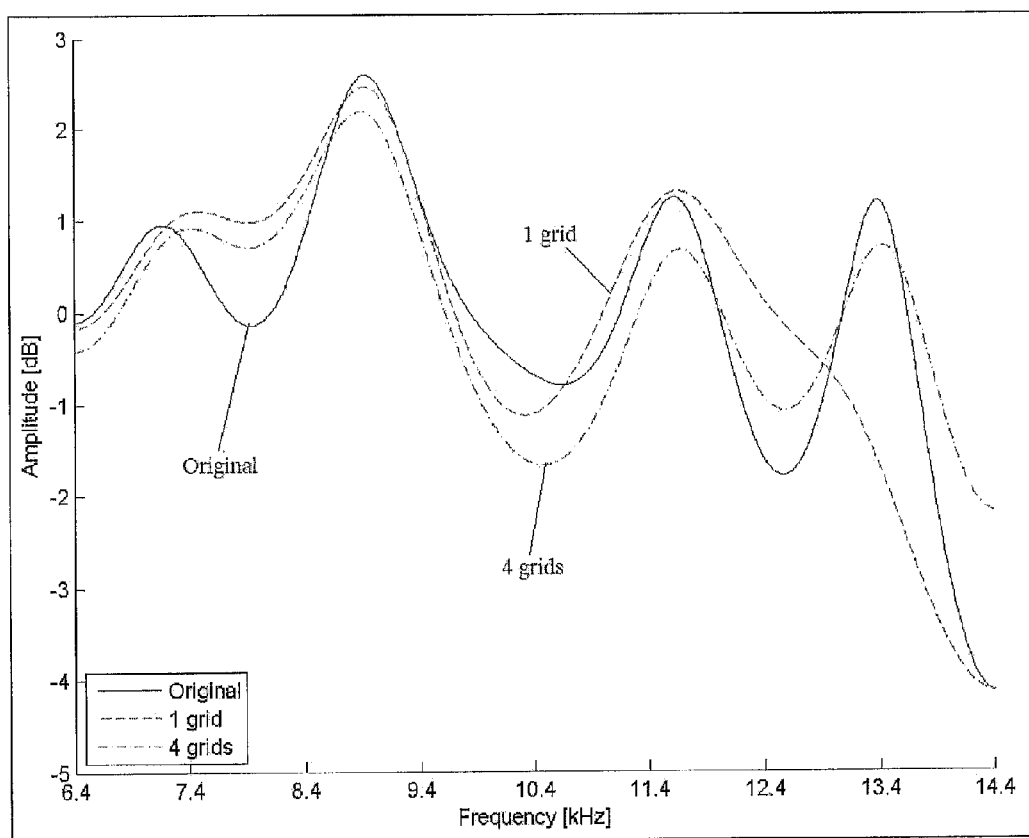


FIG. 4

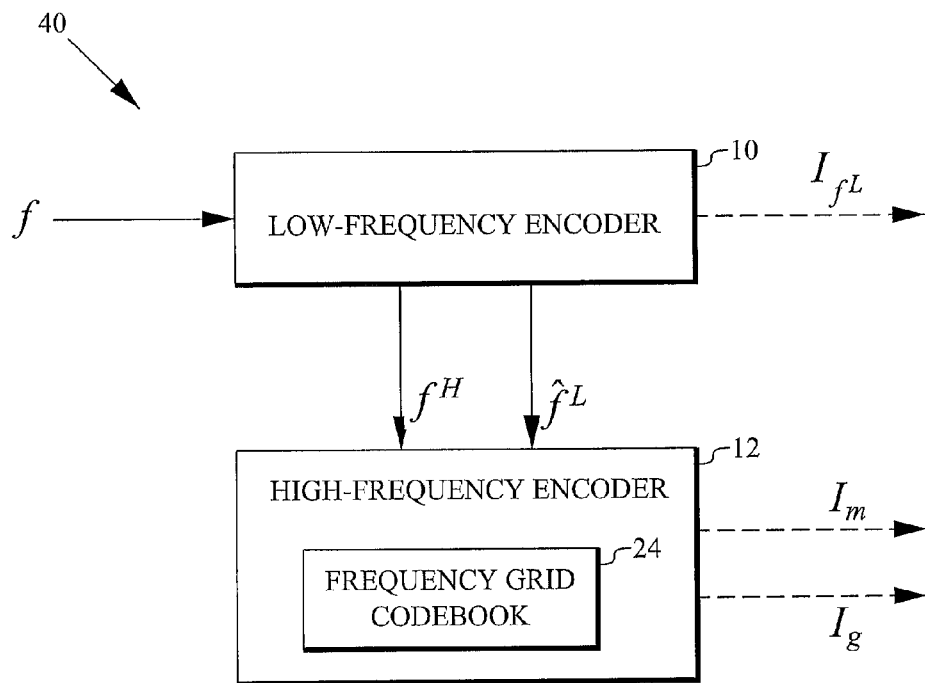


FIG. 5

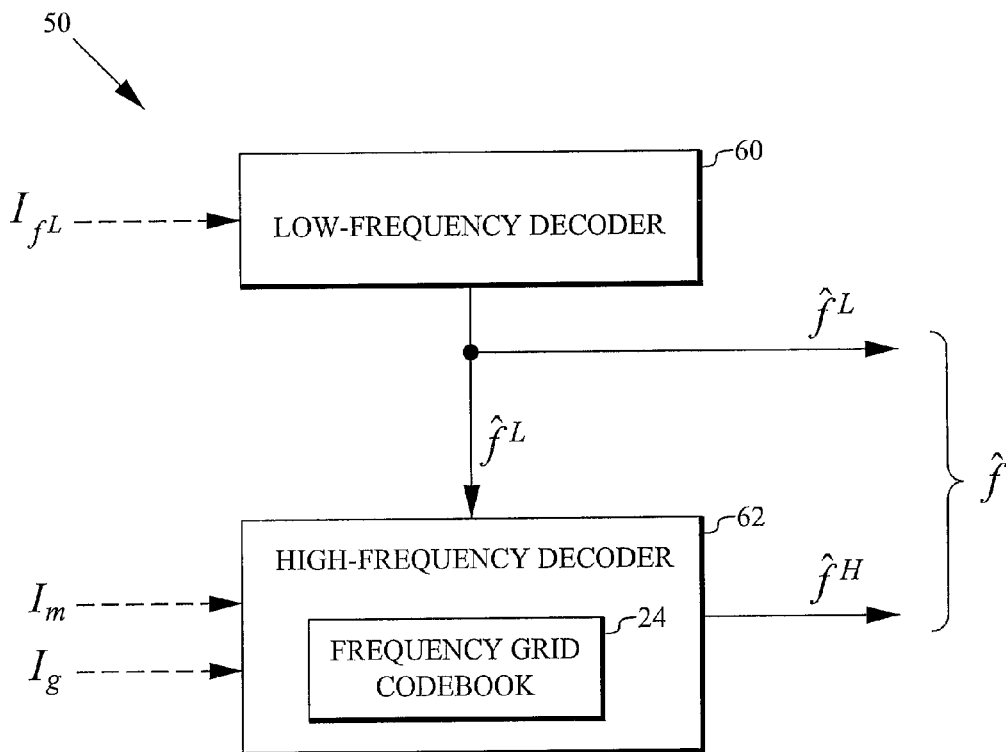


FIG. 9

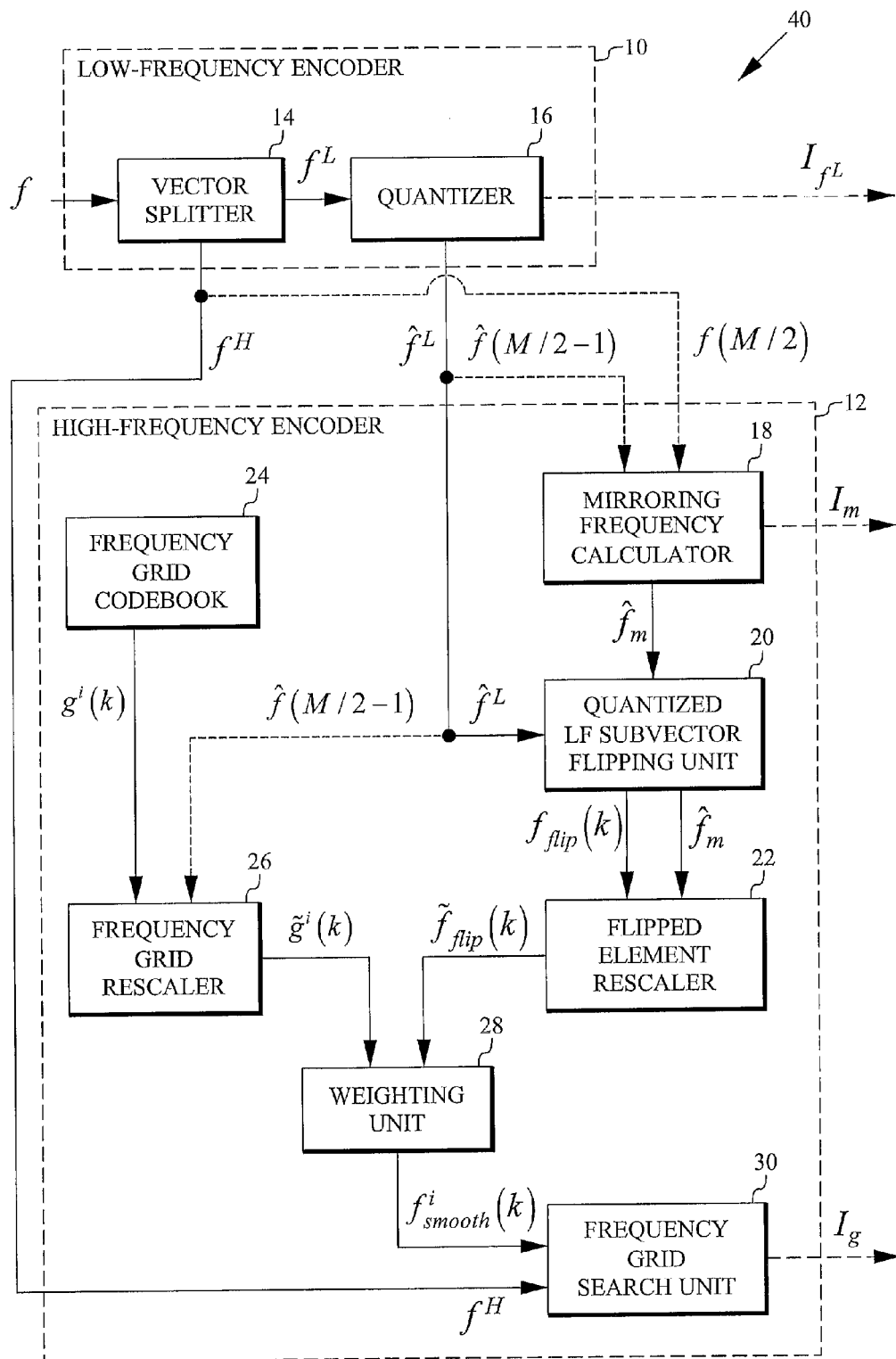


FIG. 6

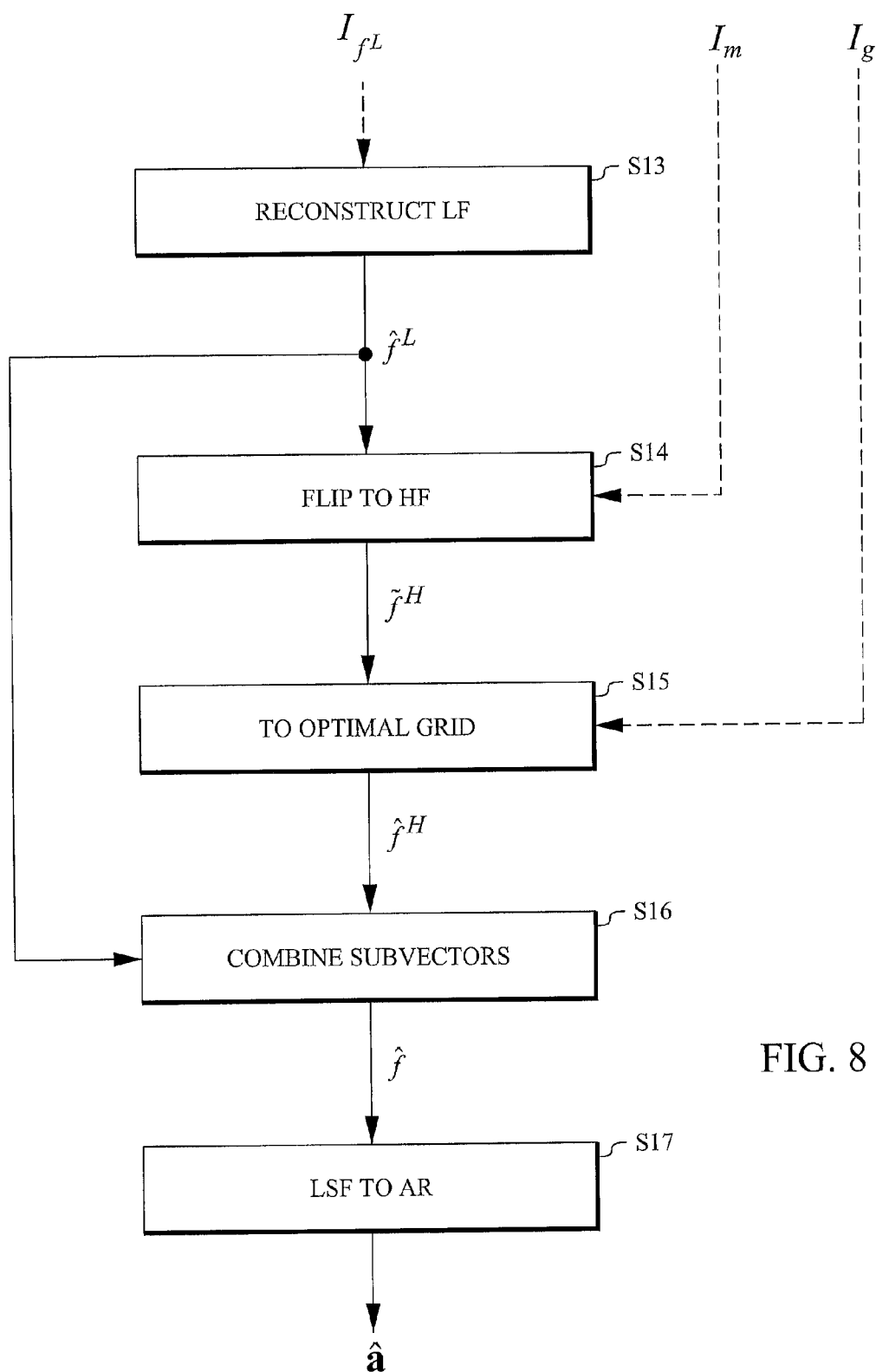


FIG. 8

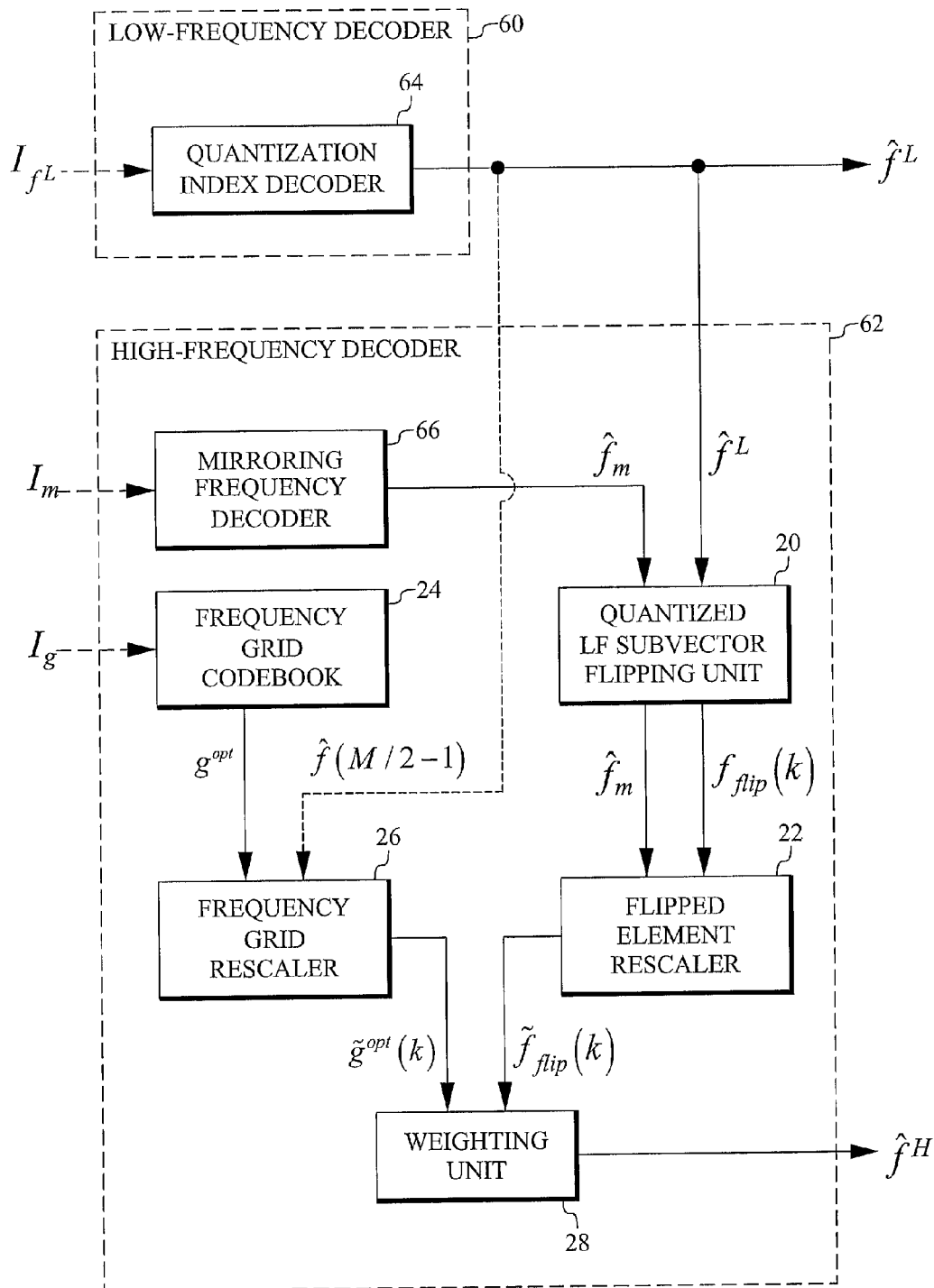


FIG. 10

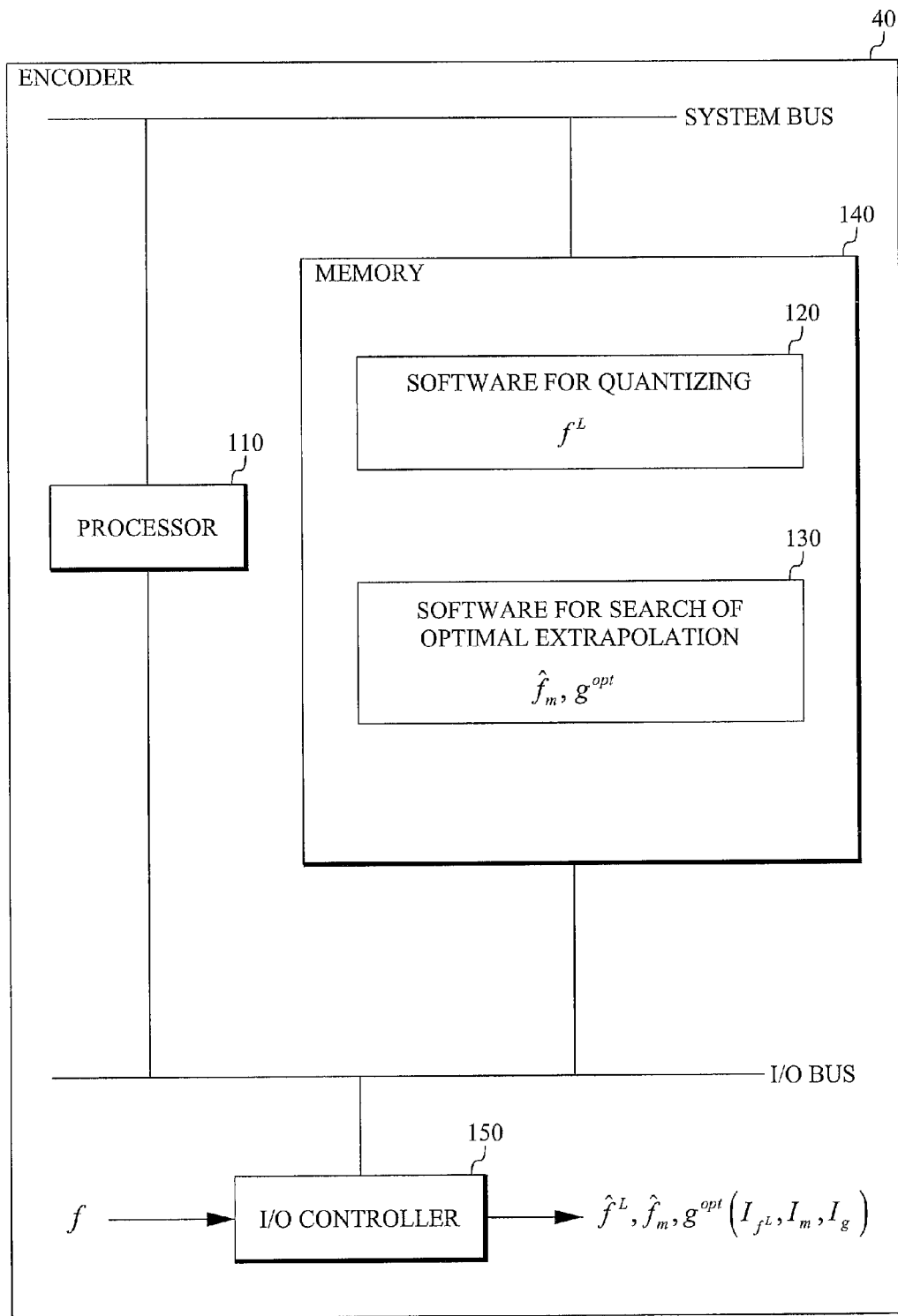


FIG. 11

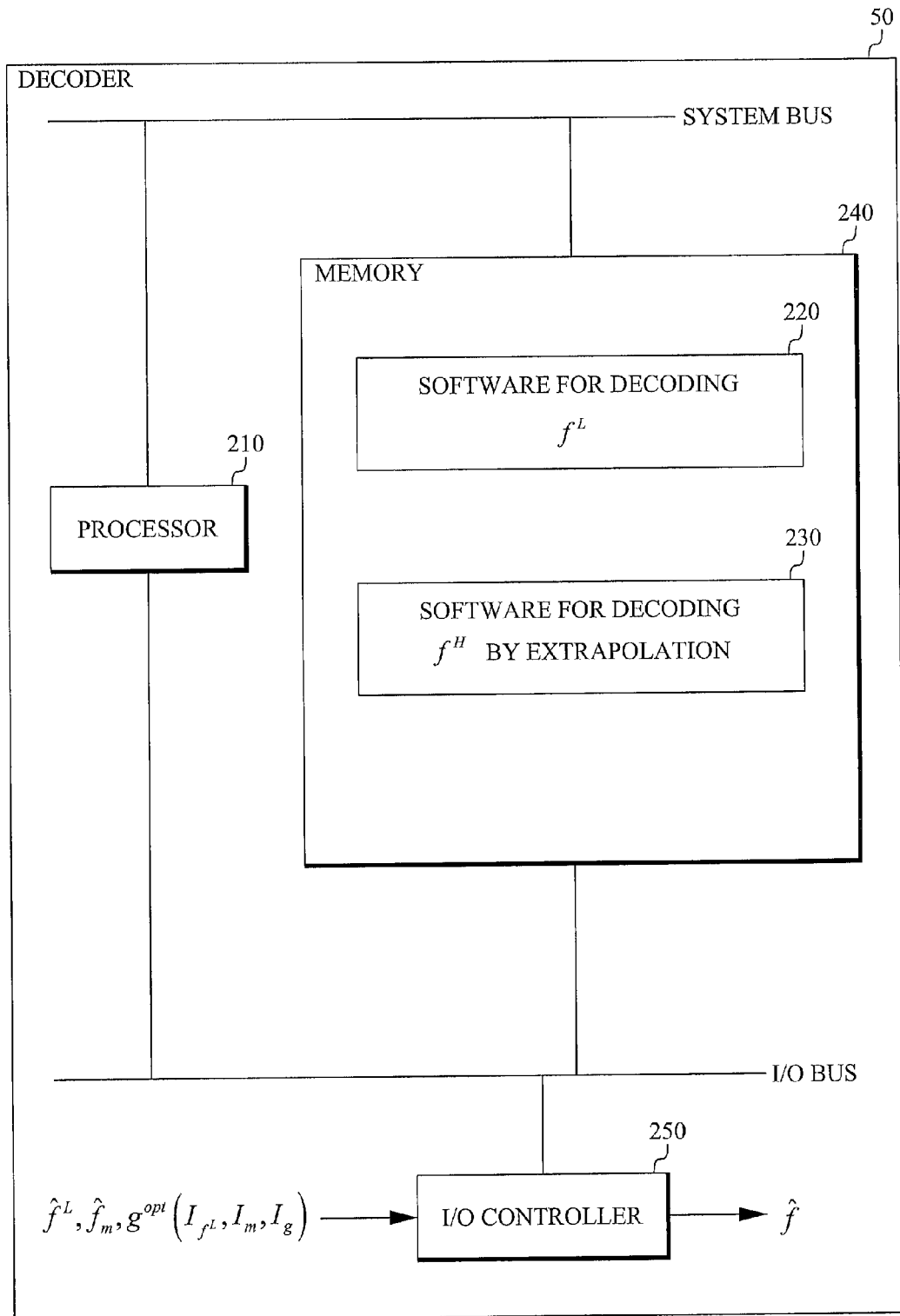


FIG. 12

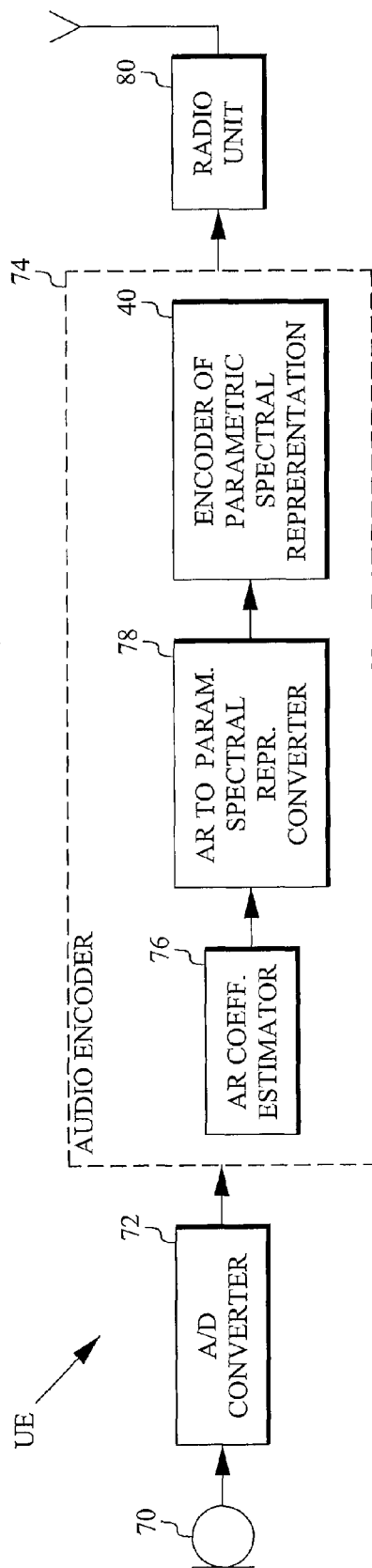


FIG. 13

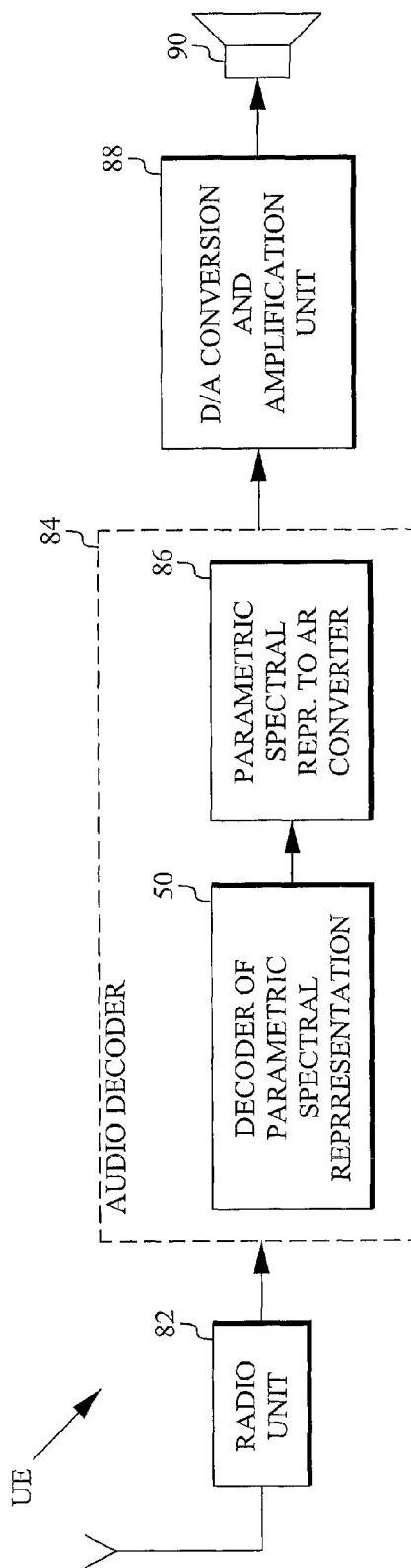


FIG. 14

AUDIO ENCODING/DECODING BASED ON AN EFFICIENT REPRESENTATION OF AUTO-REGRESSIVE COEFFICIENTS

TECHNICAL FIELD

The proposed technology relates to audio encoding/decoding based on an efficient representation of auto-regressive (AR) coefficients.

BACKGROUND

AR analysis is commonly used in both time [1] and transform domain audio coding [2]. Different applications use AR vectors of different length (model order is mainly dependent on the bandwidth of the coded signal; from 10 coefficients for signals with a bandwidth of 4 kHz, to 24 coefficients for signals with a bandwidth of 16 kHz). These AR coefficients are quantized with split, multistage vector quantization (VQ), which guarantees nearly transparent reconstruction. However, conventional quantization schemes are not designed for the case when AR coefficients model high audio frequencies (for example above 6 kHz), and operate at very limited bit-budgets (which do not allow transparent coding of the coefficients). This introduces large perceptual errors in the reconstructed signal when these conventional quantization schemes are used at not optimal frequency ranges and not optimal bitrates.

SUMMARY

An object of the proposed technology is a more efficient quantization scheme for the auto-regressive coefficients.

This object is achieved in accordance with the attached claims.

A first aspect of the proposed technology involves a method of encoding a parametric spectral representation of auto-regressive coefficients that partially represent an audio signal. The method includes the following steps:

It encodes a low-frequency part of the parametric spectral representation by quantizing elements of the parametric spectral representation that correspond to a low-frequency part of the audio signal;

It encodes a high-frequency part of the parametric spectral representation by weighted averaging based on the quantized elements flipped around a quantized mirroring frequency, which separates the low-frequency part from the high-frequency part, and a frequency grid determined from a frequency grid codebook in a closed-loop search procedure.

A second aspect of the proposed technology involves a method of decoding an encoded parametric spectral representation of auto-regressive coefficients that partially represent an audio signal. The method includes the following steps:

It reconstructs elements of a low-frequency part of the parametric spectral representation corresponding to a low-frequency part of the audio signal from at least one quantization index encoding that part of the parametric spectral representation;

It reconstructs elements of a high-frequency part of the parametric spectral representation by weighted averaging based on the decoded elements flipped around a decoded mirroring frequency, which separates the low-frequency part from the high-frequency part, and a decoded frequency grid.

A third aspect of the proposed technology involves an encoder for encoding a parametric spectral representation of auto-regressive coefficients that partially represent an audio signal. The encoder includes:

A low-frequency encoder configured to encode a low-frequency part of the parametric spectral representation by quantizing elements of the parametric spectral representation that correspond to a low-frequency part of the audio signal;

A high-frequency encoder configured to encode a high-frequency part of the parametric spectral representation by weighted averaging based on the quantized elements flipped around a quantized mirroring frequency, which separates the low-frequency part from the high-frequency part, and a frequency grid determined from a frequency grid codebook in a closed-loop search procedure.

A fourth aspect of the proposed technology involves a UE including the encoder in accordance with the third aspect.

A fifth aspect of the proposed technology involves decoder for decoding an encoded parametric spectral representation of auto-regressive coefficients that partially represent an audio signal. The decoder includes:

A low-frequency decoder configured to reconstruct elements of a low-frequency part of the parametric spectral representation corresponding to a low-frequency part of the audio signal from at least one quantization index encoding that part of the parametric spectral representation;

a high-frequency decoder configured to reconstruct elements of a high-frequency part of the parametric spectral representation by weighted averaging based on the decoded elements flipped around a decoded mirroring frequency, which separates the low-frequency part from the high-frequency part, and a decoded frequency grid.

A sixth aspect of the proposed technology involves a UE including the decoder in accordance with the fifth aspect.

The proposed technology provides a low-bitrate scheme for compression or encoding of auto-regressive coefficients. In addition to perceptual improvements, the proposed technology also has the advantage of reducing the computational complexity in comparison to full-spectrum-quantization methods.

BRIEF DESCRIPTION OF THE DRAWINGS

The proposed technology, together with further objects and advantages thereof, may best be understood by making reference to the following description taken together with the accompanying drawings, in which:

FIG. 1 is a flow chart of the encoding method in accordance with the proposed technology;

FIG. 2 illustrates an embodiment of the encoder side method of the proposed technology;

FIG. 3 illustrates flipping of quantized low-frequency LSF elements (represented by black dots) to high frequency by mirroring them to the space previously occupied by the upper half of the LSF vector;

FIG. 4 illustrates the effect of grid smoothing on a signal spectrum;

FIG. 5 is a block diagram of an embodiment of the encoder in accordance with the proposed technology;

FIG. 6 is a block diagram of an embodiment of the encoder in accordance with the proposed technology;

FIG. 7 is a flow chart of the decoding method in accordance with the proposed technology;

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FIG. 8 illustrates an embodiment of the decoder side method of the proposed technology;

FIG. 9 is a block diagram of an embodiment of the decoder in accordance with the proposed technology;

FIG. 10 is a block diagram of an embodiment of the decoder in accordance with the proposed technology;

FIG. 11 is a block diagram of an embodiment of the encoder in accordance with the proposed technology;

FIG. 12 is a block diagram of an embodiment of the decoder in accordance with the proposed technology;

FIG. 13 illustrates an embodiment of a user equipment including an encoder in accordance with the proposed technology; and

FIG. 14 illustrates an embodiment of a user equipment including a decoder in accordance with the proposed technology.

DETAILED DESCRIPTION

The proposed technology requires as input a vector a of AR coefficients (another commonly used name is linear prediction (LP) coefficients). These are typically obtained by first computing the autocorrelations $r(j)$ of the windowed audio segment $s(n)$, $n=1, \dots, N$, i.e.:

$$r(j) = \sum_{n=j}^N s(n)s(n-j), \quad j = 0, \dots, M \quad (1)$$

where M is pre-defined model order. Then the AR coefficients a are obtained from the autocorrelation sequence $r(j)$ through the Levinson-Durbin algorithm [3].

In an audio communication system AR coefficients have to be efficiently transmitted from the encoder to the decoder part of the system. In the proposed technology this is achieved by quantizing only certain coefficients, and representing the remaining coefficients with only a small number of bits.

FIG. 1 is a flow chart of the encoding method in accordance with the proposed technology. Step S1 encodes a low-frequency part of the parametric spectral representation by quantizing elements of the parametric spectral representation that correspond to a low-frequency part of the audio signal. Step S2 encodes a high-frequency part of the parametric spectral representation by weighted averaging based on the quantized elements flipped around a quantized mirroring frequency, which separates the low-frequency part from the high-frequency part, and a frequency grid determined from a frequency grid codebook in a closed-loop search procedure.

FIG. 2 illustrates steps performed on the encoder side of an embodiment of the proposed technology. First the AR coefficients are converted to an Line Spectral frequencies (LSF) representation in step S3, e.g. by the algorithm described in [4]. Then the LSF vector f is split into two parts, denoted as low (L) and high-frequency (H) parts in step S4. For example in a 10 dimensional LSF vector the first 5 coefficients may be assigned to the L subvector f^L and the remaining coefficients to the H subvector f^H .

Although the proposed technology will be described with reference to an LSF representation, the general concepts may also be applied to an alternative implementation in which the AR vector is converted to another parametric spectral representation, such as Line Spectral Pair (LSP) or Immitance Spectral Pairs (ISP) instead of LSF.

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Only the low-frequency LSF subvector f^L is quantized in step S5, and its quantization indices I_L are transmitted to the decoder. The high-frequency LSFs of the subvector f^H are not quantized, but only used in the quantization of a mirroring frequency \hat{f}_m (to \hat{f}_m), and the closed loop search for an optimal frequency grid g^{opt} from a set of frequency grids g^i forming a frequency grid codebook, as described with reference to equations (2)-(13) below. The quantization indices I_m and I_g for the mirroring frequency and optimal frequency grid, respectively, represent the coded high-frequency LSF vector f^H and are transmitted to the decoder. The encoding of the high-frequency subvector f^H will occasionally be referred to as "extrapolation" in the following description.

In the proposed embodiment quantization is based on a set of scalar quantizers (SQs) individually optimized on the statistical properties of the above parameters. In an alternative implementation the LSF elements could be sent to a vector quantizer (VQ) or one can even train a VQ for the combined set of parameters (LSFs, mirroring frequency, and optimal grid).

The low-frequency LSFs of subvector f^L are in step S6 flipped into the space spanned by the high-frequency LSFs of subvector f^H . This operation is illustrated in FIG. 3. First the quantized mirroring frequency \hat{f}_m is calculated in accordance with:

$$\hat{f}_m = Q(f(M/2) - \hat{f}(M/2-1)) + \hat{f}(M/2-1) \quad (2)$$

where f denotes the entire LSF vector, and $Q(\bullet)$ is the quantization of the difference between the first element in f^H (namely $f(M/2)$) and the last quantized element in f^L (namely $\hat{f}(M/2-1)$), and where M denotes the total number of elements in the parametric spectral representation.

Next the flipped LSFs $f_{flip}(k)$ are calculated in accordance with:

$$f_{flip}(k) = 2\hat{f}_m - \hat{f}(M/2-1-k), 0 \leq k \leq M/2-1 \quad (3)$$

Then the flipped LSFs are rescaled so that they will be bound within the range $[0 \dots 0.5]$ (as an alternative the range can be represented in radians as $[0 \dots \pi]$) in accordance with:

$$\tilde{f}_{flip}(k) = \begin{cases} (f_{flip}(k) - f_{flip}(0)) \cdot (f_{max} - \hat{f}_m) / \hat{f}_m + f_{flip}(0), & \hat{f}_m > 0.25 \\ f_{flip}(k), & \text{otherwise} \end{cases} \quad (4)$$

The frequency grids g^i are rescaled to fit into the interval between the last quantized LSF element $\hat{f}(M/2-1)$ and a maximum grid point value g_{max} , i.e.:

$$\tilde{g}^i(k) = g^i(k) \cdot (g_{max} - \hat{f}(M/2-1)) + \hat{f}(M/2-1) \quad (5)$$

These flipped and rescaled coefficients $\tilde{f}_{flip}(k)$ (collectively denoted \tilde{f}^H in FIG. 2) are further processed in step S7 by smoothing with the rescaled frequency grids $\tilde{g}^i(k)$. Smoothing has the form of a weighted sum between flipped and rescaled LSFs $\tilde{f}_{flip}(k)$ and the rescaled frequency grids $\tilde{g}^i(k)$, in accordance with:

$$f_{smooth}(k) = [1 - \lambda(k)] \tilde{f}_{flip}(k) + \lambda(k) \tilde{g}^i(k) \quad (6)$$

where $\lambda(k)$ and $[1 - \lambda(k)]$ are predefined weights.

Since equation (6) includes a free index i , this means that a vector $f_{smooth}(k)$ will be generated for each $\tilde{g}^i(k)$. Thus, equation (6) may be expressed as:

$$f_{smooth}^i(k) = [1 - \lambda(k)] \tilde{f}_{flip}(k) + \lambda(k) \tilde{g}^i(k) \quad (7)$$

The smoothing is performed step S7 in a closed loop search over all frequency grids g^i , to find the one that minimizes a pre-defined criterion (described after equation (12) below).

For $M/2=5$ the weights $\lambda(k)$ in equation (7) can be chosen as:

$$\lambda = \{0.2, 0.35, 0.5, 0.75, 0.8\} \quad (8)$$

In an embodiment these constants are perceptually optimized (different sets of values are suggested, and the set that maximized quality, as reported by a panel of listeners, are finally selected). Generally the values of elements in λ increase as the index k increases. Since a higher index corresponds to a higher-frequency, the higher frequencies of the resulting spectrum are more influenced by $\tilde{g}^i(k)$ than by \tilde{f}_{flip} (see equation (7)). This result of this smoothing or weighted averaging is a more flat spectrum towards the high frequencies (the spectrum structure potentially introduced by \tilde{f}_{flip} is progressively removed towards high frequencies).

Here g_{max} is selected close to but less than 0.5. In this example g_{max} is selected equal to 0.49.

The method in this example uses 4 trained grids g^i (less or more grids are possible). Template grid vectors on a range $[0 \dots 1]$, pre-stored in memory, are of the form:

$$\begin{aligned} g^1 &= \{0.17274857, 0.35811835, 0.52369229, \\ &\quad 0.71552804, 0.85539771\} \\ g^2 &= \{0.16313042, 0.30782962, 0.43109281, \\ &\quad 0.59395830, 0.81291897\} \\ g^3 &= \{0.17172427, 0.33157177, 0.48528862, \\ &\quad 0.66492442, 0.82952486\} \\ g^4 &= \{0.16666667, 0.33333333, 0.50000000, \\ &\quad 0.66666667, 0.83333333\} \end{aligned} \quad (9)$$

If we assume that the position of the last quantized LSF coefficient $\tilde{f}(M/2-1)$ is 0.25, the rescaled grid vectors take the form:

$$\begin{aligned} \tilde{g}^1 &= \{0.2915, 0.3359, 0.3757, 0.4217, 0.4553\} \\ \tilde{g}^2 &= \{0.2892, 0.3239, 0.3535, 0.3925, 0.4451\} \\ \tilde{g}^3 &= \{0.2912, 0.3296, 0.3665, 0.4096, 0.4491\} \\ \tilde{g}^4 &= \{0.2900, 0.3300, 0.3700, 0.4100, 0.4500\} \end{aligned} \quad (10)$$

An example of the effect of smoothing the flipped and rescaled LSF coefficients to the grid points is illustrated in FIG. 4. With increasing number of grid vectors used in the closed loop procedure, the resulting spectrum gets closer and closer to the target spectrum.

If $g_{max}=0.5$ instead of 0.49, the frequency grid codebook may instead be formed by:

$$\begin{aligned} g^1 &= \{0.15998503, 0.31215086, 0.47349756, \\ &\quad 0.66540429, 0.84043882\} \\ g^2 &= \{0.15614473, 0.30697672, 0.45619822, \\ &\quad 0.62493785, 0.77798001\} \\ g^3 &= \{0.14185823, 0.26648724, 0.39740108, \\ &\quad 0.55685745, 0.74688616\} \\ g^4 &= \{0.15416561, 0.27238427, 0.39376780, \\ &\quad 0.59287916, 0.86613986\} \end{aligned} \quad (11)$$

If we again assume that the position of the last quantized LSF coefficient $\tilde{f}(M/2-1)$ is 0.25, the rescaled grid vectors take the form:

$$\begin{aligned} \tilde{g}^1 &= \{0.28999626, 0.32803772, 0.36837439, \\ &\quad 0.41635107, 0.46010970\} \\ \tilde{g}^2 &= \{0.28903618, 0.32674418, 0.36404956, \\ &\quad 0.40623446, 0.44449500\} \\ \tilde{g}^3 &= \{0.28546456, 0.31662181, 0.34935027, \\ &\quad 0.38921436, 0.43672154\} \\ \tilde{g}^4 &= \{0.28854140, 0.31809607, 0.34844195, \\ &\quad 0.39821979, 0.46653496\} \end{aligned} \quad (12)$$

It is noted that the rescaled grids k may be different from frame to frame, since $\tilde{f}(M/2-1)$ in rescaling equation (5) may not be constant but vary with time. However, the codebook formed by the template grids g^i is constant. In this sense the rescaled grids \tilde{g}^i may be considered as an adaptive codebook formed from a fixed codebook of template grids g^i .

The LSF vectors \tilde{f}_{smooth} created by the weighted sum in (7) are compared to the target LSF vector f^{Hz} , and the optimal grid g^i is selected as the one that minimizes the mean-squared error (MSE) between these two vectors. The index opt of this optimal grid may mathematically be expressed as:

$$opt = \underset{i}{\operatorname{argmin}} \left(\sum_{k=0}^{M/2-1} (\tilde{f}_{smooth}^i(k) - f^H(k))^2 \right) \quad (13)$$

where $f^{Hz}(k)$ is a target vector formed by the elements of the high-frequency part of the parametric spectral representation.

In an alternative implementation one can use more advanced error measures that mimic spectral distortion (SD), e.g., inverse harmonic mean or other weighting on the LSF domain.

In an embodiment the frequency grid codebook is obtained with a K-means clustering algorithm on a large set of LSF vectors, which has been extracted from a speech database. The grid vectors in equations (9) and (11) are selected as the ones that, after rescaling in accordance with equation (5) and weighted averaging with \tilde{f}_{flip} in accordance with equation (7), minimize the squared distance to f^{Hz} . In other words these grid vectors, when used in equation (7), give the best representation of the high-frequency LSF coefficients.

FIG. 5 is a block diagram of an embodiment of the encoder in accordance with the proposed technology. The encoder 40 includes a low-frequency encoder 10 configured to encode a low-frequency part of the parametric spectral representation f by quantizing elements of the parametric spectral representation that correspond to a low-frequency part of the audio signal. The encoder 40 also includes a high-frequency encoder 12 configured to encode a high-frequency part f^{Hz} of the parametric spectral representation by weighted averaging based on the quantized elements \tilde{f}^L flipped around a quantized mirroring frequency separating the low-frequency part from the high-frequency part, and a frequency grid determined from a frequency grid codebook 24 in a closed-loop search procedure. The quantized entities \tilde{f}^L , \tilde{f}_m , g^{opt} are represented by the corresponding quantization indices I_{f^L} , I_m , I_g , which are transmitted to the decoder.

FIG. 6 is a block diagram of an embodiment of the encoder in accordance with the proposed technology. The low-frequency encoder 10 receives the entire LSF vector f , which is split into a low-frequency part or subvector f^L and a high-frequency part or subvector f^{Hz} by a vector splitter 14. The low-frequency part is forwarded to a quantizer 16, which is configured to encode the low-frequency part f^L by quantizing

its elements, either by scalar or vector quantization, into a quantized low-frequency part or subvector \hat{f}^L . At least one quantization index I_{μ} , (depending on the quantization method used) is outputted for transmission to the decoder.

The quantized low-frequency subvector \hat{f}^L and the not yet encoded high-frequency subvector \hat{f}^H are forwarded to the high-frequency encoder **12**. A mirroring frequency calculator **18** is configured to calculate the quantized mirroring frequency \hat{f}_m in accordance with equation (2). The dashed lines indicate that only the last quantized element $\hat{f}(M/2-1)$ in \hat{f}^L and the first element $\hat{f}(M/2)$ in \hat{f}^H are required for this. The quantization index I_m representing the quantized mirroring frequency \hat{f}_m is outputted for transmission to the decoder.

The quantized mirroring frequency \hat{f}_m is forwarded to a quantized low-frequency subvector flipping unit **20** configured to flip the elements of the quantized low-frequency subvector \hat{f}^L around the quantized mirroring frequency \hat{f}_m in accordance with equation (3). The flipped elements $\hat{f}_{flip}(k)$ and the quantized mirroring frequency \hat{f}_m are forwarded to a flipped element rescaler **22** configured to rescale the flipped elements in accordance with equation (4).

The frequency grids $g^i(k)$ are forwarded from frequency grid codebook **24** to a frequency grid rescaler **26**, which also receives the last quantized element $\hat{f}(M/2-1)$ in \hat{f}^L . The rescaler **26** is configured to perform rescaling in accordance with equation (5).

The flipped and rescaled $\hat{f}_{flip}(k)$ from flipped element rescaler **22** and the rescaled frequency grids $\hat{g}^i(k)$ from frequency grid rescaler **26** are forwarded to a weighting unit **28**, which is configured to perform a weighted averaging in accordance with equation (7). The resulting smoothed elements $\hat{f}_{smooth}^i(k)$ and the high-frequency target vector \hat{f}^H are forwarded to a frequency grid search unit **30** configured to select a frequency grid g^{opt} in accordance with equation (13). The corresponding index I_g is transmitted to the decoder.

FIG. 7 is a flow chart of the decoding method in accordance with the proposed technology. Step **S11** reconstructs elements of a low-frequency part of the parametric spectral representation corresponding to a low-frequency part of the audio signal from at least one quantization index encoding that part of the parametric spectral representation. Step **S12** reconstructs elements of a high-frequency part of the parametric spectral representation by weighted averaging based on the decoded elements flipped around a decoded mirroring frequency, which separates the low-frequency part from the high-frequency part, and a decoded frequency grid.

The method steps performed at the decoder are illustrated by the embodiment in FIG. 8. First the quantization indices I_{μ} , I_m , I_g for the low-frequency LSFs, optimal mirroring frequency and optimal grid, respectively, are received.

In step **S13** the quantized low-frequency part \hat{f}^L is reconstructed from a low-frequency codebook by using the received index I_{μ} .

The method steps performed at the decoder for reconstructing the high-frequency part \hat{f}^H are very similar to already described encoder processing steps in equations (3)-(7).

The flipping and rescaling steps performed at the decoder (at **S14**) are identical to the encoder operations, and therefore described exactly by equations (3)-(4).

The steps (at **S15**) of rescaling the grid (equation (5)), and smoothing with it (equation (6)), require only slight modification in the decoder, because the closed loop search is not performed (search over i). This is because the decoder

receives the optimal index opt from the bit stream. These equations instead take the following form:

$$\hat{g}^{opt}(k) = g^{opt}(k) \cdot (g_{max} - \hat{f}(M/2-1)) + \hat{f}(M/2-1) \quad (14)$$

and

$$\hat{f}_{smooth}(k) = [1 - \lambda(k)] \hat{f}_{flip}(k) + \lambda(k) \hat{g}^{opt}(k) \quad (15)$$

respectively. The vector \hat{f}_{smooth} represents the high-frequency part \hat{f}^H of the decoded signal.

Finally the low- and high-frequency parts \hat{f}^L , \hat{f}^H of the LSF vector are combined in step **S16**, and the resulting vector \hat{f} is transformed to AR coefficients \hat{a} in step **S17**.

FIG. 9 is a block diagram of an embodiment of the decoder **50** in accordance with the proposed technology. A low-frequency decoder **60** is configured to reconstruct elements \hat{f}^L of a low-frequency part f^L of the parametric spectral representation f corresponding to a low-frequency part of the audio signal from at least one quantization index I_{μ} encoding that part of the parametric spectral representation. A high-frequency decoder **62** is configured to reconstruct elements \hat{f}^H of a high-frequency part f^H of the parametric spectral representation by weighted averaging based on the decoded elements \hat{f}^L flipped around a decoded mirroring frequency \hat{f}_m , which separates the low-frequency part from the high-frequency part, and a decoded frequency grid g^{opt} . The frequency grid g^{opt} is obtained by retrieving the frequency grid that corresponds to a received index I_g from a frequency grid codebook **24** (this is the same codebook as in the encoder).

FIG. 10 is a block diagram of an embodiment of the decoder in accordance with the proposed technology. The low-frequency decoder receives at least one quantization index I_{μ} , depending on whether scalar or vector quantization is used, and forwards it to a quantization index decoder **66**, which reconstructs elements \hat{f}^L of the low-frequency part of the parametric spectral representation. The high-frequency decoder **62** receives a mirroring frequency quantization index I_m , which is forwarded to a mirroring frequency decoder **66** for decoding the mirroring frequency \hat{f}_m . The remaining blocks **20**, **22**, **24**, **26** and **28** perform the same functions as the correspondingly numbered blocks in the encoder illustrated in FIG. 6. The essential differences between the encoder and the decoder are that the mirroring frequency is decoded from the index I_m instead of being calculated from equation (2), and that the frequency grid search unit **30** in the encoder is not required, since the optimal frequency grid is obtained directly from frequency grid codebook **24** by looking up the frequency grid g^{opt} that corresponds to the received index I_g .

The steps, functions, procedures and/or blocks described herein may be implemented in hardware using any conventional technology, such as discrete circuit or integrated circuit technology, including both general-purpose electronic circuitry and application-specific circuitry.

Alternatively, at least some of the steps, functions, procedures and/or blocks described herein may be implemented in software for execution by suitable processing equipment. This equipment may include, for example, one or several micro processors, one or several Digital Signal Processors (DSP), one or several Application Specific Integrated Circuits (ASIC), video accelerated hardware or one or several suitable programmable logic devices, such as Field Programmable Gate Arrays (FPGA). Combinations of such processing elements are also feasible.

It should also be understood that it may be possible to reuse the general processing capabilities already present in a UE. This may, for example, be done by reprogramming of the existing software or by adding new software components.

FIG. 11 is a block diagram of an embodiment of the encoder **40** in accordance with the proposed technology. This embodiment is based on a processor **110**, for example a micro

processor, which executes software **120** for quantizing the low-frequency part f^L of the parametric spectral representation, and software **130** for search of an optimal extrapolation represented by the mirroring frequency \hat{f}_m and the optimal frequency grid vector g^{opt} . The software is stored in memory **140**. The processor **110** communicates with the memory over a system bus. The incoming parametric spectral representation f is received by an input/output (I/O) controller **150** controlling an I/O bus, to which the processor **110** and the memory **140** are connected. The software **120** may implement the functionality of the low-frequency encoder **10**. The software **130** may implement the functionality of the high-frequency encoder **12**. The quantized parameters \hat{f}^L , \hat{f}_m , g^{opt} (or preferably the corresponding indices I_f , I_m , I_g) obtained from the software **120** and **130** are outputted from the memory **140** by the I/O controller **150** over the I/O bus.

FIG. **12** is a block diagram of an embodiment of the decoder **50** in accordance with the proposed technology. This embodiment is based on a processor **210**, for example a micro processor, which executes software **220** for decoding the low-frequency part f^L of the parametric spectral representation, and software **230** for decoding the low-frequency part f^H of the parametric spectral representation by extrapolation. The software is stored in memory **240**. The processor **210** communicates with the memory over a system bus. The incoming encoded parameters \hat{f}^L , \hat{f}_m , g^{opt} (represented by I_f , I_m , I_g) are received by an input/output (I/O) controller **250** controlling an I/O bus, to which the processor **210** and the memory **240** are connected. The software **220** may implement the functionality of the low-frequency decoder **60**. The software **230** may implement the functionality of the high-frequency decoder **62**. The decoded parametric representation \hat{f} (\hat{f}^L combined with \hat{f}^H) obtained from the software **220** and **230** are outputted from the memory **240** by the I/O controller **250** over the I/O bus.

FIG. **13** illustrates an embodiment of a user equipment UE including an encoder in accordance with the proposed technology. A microphone **70** forwards an audio signal to an A/D converter **72**. The digitized audio signal is encoded by an audio encoder **74**. Only the components relevant for illustrating the proposed technology are illustrated in the audio encoder **74**. The audio encoder **74** includes an AR coefficient estimator **76**, an AR to parametric spectral representation converter **78** and an encoder **40** of the parametric spectral representation. The encoded parametric spectral representation (together with other encoded audio parameters that are not needed to illustrate the present technology) is forwarded to a radio unit **80** for channel encoding and upconversion to radio frequency and transmission to a decoder over an antenna.

FIG. **14** illustrates an embodiment of a user equipment UE including a decoder in accordance with the proposed technology. An antenna receives a signal including the encoded parametric spectral representation and forwards it to radio unit **82** for down-conversion from radio frequency and channel decoding. The resulting digital signal is forwarded to an audio decoder **84**. Only the components relevant for illustrating the proposed technology are illustrated in the audio decoder **84**. The audio decoder **84** includes a decoder **50** of the parametric spectral representation and a parametric spectral representation to AR converter **86**. The AR coefficients are used (together with other decoded audio parameters that are not needed to illustrate the present technology) to decode the audio signal, and the resulting audio samples are forwarded to a D/A conversion and amplification unit **88**, which outputs the audio signal to a loudspeaker **90**.

In one example application the proposed AR quantization-extrapolation scheme is used in a BWE context. In this case AR analysis is performed on a certain high frequency band, and AR coefficients are used only for the synthesis filter. Instead of being obtained with the corresponding analysis filter, the excitation signal for this high band is extrapolated from an independently coded low band excitation.

In another example application the proposed AR quantization-extrapolation scheme is used in an ACELP type coding scheme. ACELP coders model a speaker's vocal tract with an AR model. An excitation signal $e(n)$ is generated by passing a waveform $s(n)$ through a whitening filter $e(n)=A(z)s(n)$, where $A(z)=1+a_1z^{-1}+a_2z^{-2}+\dots+a_Mz^{-M}$, is the AR model of order M . On a frame-by-frame basis a set of AR coefficients $a=[a_1 \ a_2 \ \dots \ a_M]^T$, and excitation signal are quantized, and quantization indices are transmitted over the network. At the decoder, synthesized speech is generated on a frame-by-frame basis by sending the reconstructed excitation signal through the reconstructed synthesis filter $A(z)^{-1}$.

In a further example application the proposed AR quantization-extrapolation scheme is used as an efficient way to parameterize a spectrum envelope of a transform audio codec. On short-time basis the waveform is transformed to frequency domain, and the frequency response of the AR coefficients is used to approximate the spectrum envelope and normalize transformed vector (to create a residual vector). Next the AR coefficients and the residual vector are coded and transmitted to the decoder.

It will be understood by those skilled in the art that various modifications and changes may be made to the proposed technology without departure from the scope thereof, which is defined by the appended claims.

ABBREVIATIONS

ACELP Algebraic Code Excited Linear Prediction
 ASIC Application Specific Integrated Circuits
 AR Auto Regression
 BWE Bandwidth Extension
 DSP Digital Signal Processor
 FPGA Field Programmable Gate Array
 ISP Immitance Spectral Pairs
 LP Linear Prediction
 LSF Line Spectral Frequencies
 LSP Line Spectral Pair
 MSE Mean Squared Error
 SD Spectral Distortion
 SQ Scalar Quantizer
 UE User Equipment
 VQ Vector Quantization

REFERENCES

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- [3] J. Makhoul, "Linear prediction: A tutorial review", Proc. IEEE, vol 63, p. 566, 1975
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The invention claimed is:

1. A method of encoding a parametric spectral representation (f) of auto-regressive coefficients (a) that partially represent an audio signal, said method comprising:

encoding a low-frequency part (f^L) of the parametric spectral representation (f) by quantizing elements of the parametric spectral representation that correspond to a low-frequency part of the audio signal;

encoding a high-frequency part (f^H) of the parametric spectral representation (f) by weighted averaging based on the quantized elements (\hat{f}^L) flipped around a quantized mirroring frequency (\hat{f}_m), which separates the low-frequency part from the high-frequency part, and a frequency grid (g^{opt}) determined from a frequency grid codebook in a closed-loop search procedure.

2. The encoding method of claim 1, including the step of quantizing the mirroring frequency \hat{f}_m in accordance with:

$$\hat{f}_m = Q(f(M/2) - \hat{f}(M/2-1)) + \hat{f}(M/2-1),$$

where

Q denotes quantization of the expression in the adjacent parentheses,

M denotes the total number of elements in the parametric spectral representation,

$f(M/2)$ denotes the first element in the high-frequency part, and

$\hat{f}(M/2-1)$ denotes the last quantized element in the low-frequency part.

3. The encoding method of claim 2, including the step of flipping the quantized elements of the low frequency part (f^L) of the parametric spectral representation (f) around the quantized mirroring frequency \hat{f}_m in accordance with:

$$f_{flip}(k) = 2\hat{f}_m - \hat{f}(M/2-1-k), 0 \leq k \leq M/2-1,$$

where $\hat{f}(M/2-1-k)$ denotes quantized element $M/2-1-k$.

4. The encoding method of claim 3, including the step of rescaling the flipped elements $f_{flip}(k)$ in accordance with:

$$\tilde{f}_{flip}(k) = \begin{cases} (f_{flip}(k) - f_{flip}(0)) \cdot (f_{max} - \hat{f}_m) / \hat{f}_m + f_{flip}(0), & \hat{f}_m > 0.25 \\ f_{flip}(k), & \text{otherwise.} \end{cases}$$

5. The encoding method of claim 4, including the step of rescaling the frequency grids g^i from the frequency grid codebook to fit into the interval between the last quantized element $\hat{f}(M/2-1)$ in the low-frequency part and a maximum grid point value g_{max} in accordance with:

$$\tilde{g}^i(k) = g^i(k) \cdot (g_{max} - \hat{f}(M/2-1)) + \hat{f}(M/2-1).$$

6. The encoding method of claim 5, including the step of weighted averaging of the flipped and rescaled elements $\tilde{f}_{flip}(k)$ and the rescaled frequency grids $\tilde{g}^i(k)$ in accordance with:

$$f_{smooth}^i(k) = [1 - \lambda(k)] \tilde{f}_{flip}(k) + \lambda(k) \tilde{g}^i(k)$$

where $\lambda(k)$ and $[1 - \lambda(k)]$ are predefined weights.

7. The encoding method of claim 6, including the step of selecting a frequency grid g^{opt} , where the index opt satisfies the criterion:

$$opt = \underset{i}{\operatorname{argmin}} \left(\sum_{k=0}^{M/2-1} (f_{smooth}^i(k) - f^H(k))^2 \right)$$

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where $f^H(k)$ is a target vector formed by the elements of the high-frequency part of the parametric spectral representation.

8. The encoding method of claim 7, wherein $M=10$, $g_{max}=0.5$, and the weights $\lambda(k)$ are defined as $\lambda=\{0.2, 0.35, 0.5, 0.75, 0.8\}$.

9. The method of claim 1, wherein the encoding is performed on a line spectral frequencies representation of the auto-regressive coefficients.

10. A method of decoding an encoded parametric spectral representation (\hat{f}) of auto-regressive coefficients (a) that partially represent an audio signal, said method including the steps of:

reconstructing elements (\hat{f}^L) of a low-frequency part (f^L) of the parametric spectral representation (f) corresponding to a low-frequency part of the audio signal from at least one quantization index (I_L) encoding that part of the parametric spectral representation;

reconstructing elements (\hat{f}^H) of a high-frequency part (f^H) of the parametric spectral representation by weighted averaging based on the decoded elements (\hat{f}^L) flipped around a decoded mirroring frequency (\hat{f}_m), which separates the low-frequency part from the high-frequency part, and a decoded frequency grid (g^{opt}).

11. The decoding method of claim 10, including the step of flipping the decoded elements (\hat{f}^L) of the low-frequency part around the mirroring frequency \hat{f}_m in accordance with:

$$f_{flip}(k) = 2\hat{f}_m - \hat{f}(M/2-1-k), 0 \leq k \leq M/2-1$$

where

M denotes the total number of elements in the parametric spectral representation, and

$\hat{f}(M/2-1-k)$ denotes decoded element $M/2-1-k$.

12. The decoding method of claim 11, including the step of rescaling the flipped elements $f_{flip}(k)$ in accordance with:

$$\tilde{f}_{flip}(k) = \begin{cases} (f_{flip}(k) - f_{flip}(0)) \cdot (f_{max} - \hat{f}_m) / \hat{f}_m + f_{flip}(0), & \hat{f}_m > 0.25 \\ f_{flip}(k), & \text{otherwise.} \end{cases}$$

13. The decoding method of claim 12, including the step of rescaling the decoded frequency grid g^{opt} to fit into the interval between the last quantized element $\hat{f}(M/2-1)$ in the low-frequency part and a maximum grid point value g_{max} in accordance with:

$$\tilde{g}^{opt}(k) = g^{opt}(k) \cdot (g_{max} - \hat{f}(M/2-1)) + \hat{f}(M/2-1).$$

14. The decoding method of claim 13, including the step of weighted averaging of the flipped and rescaled elements $\tilde{f}_{flip}(k)$ and the rescaled frequency grid $\tilde{g}^{opt}(k)$ in accordance with:

$$f_{smooth}(k) = [1 - \lambda(k)] \tilde{f}_{flip}(k) + \lambda(k) \tilde{g}^{opt}(k),$$

where $\lambda(k)$ and $[1 - \lambda(k)]$ are predefined weights.

15. The decoding method of claim 14, wherein $M=10$, $g_{max}=0.5$, and the weights $\lambda(k)$ are defined as $\lambda=\{0.2, 0.35, 0.5, 0.75, 0.8\}$.

16. The method of claim 10, wherein the decoding is performed on a line spectral frequencies representation of the auto-regressive coefficients.

17. An encoder for encoding a parametric spectral representation (f) of auto-regressive coefficients (a) that partially represent an audio signal, said encoder including:

a low-frequency encoder configured to encode a low-frequency part (f^L) of the parametric spectral representation

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tion (f) by quantizing elements of the parametric spectral representation that correspond to a low-frequency part of the audio signal;

- a high-frequency encoder configured to encode a high-frequency part (f^H) of the parametric spectral representation (f) by weighted averaging based on the quantized elements (\hat{f}^L) flipped around a quantized mirroring frequency (\hat{f}_m), which separates the low-frequency part from the high-frequency part, and a frequency grid (g^{opt}) determined from a frequency grid codebook in a closed-loop search procedure.

18. The encoder of claim 17, wherein the high-frequency encoder includes a mirroring frequency calculator configured to calculate the quantized mirroring frequency \hat{f}_m in accordance with:

$$\hat{f}_m = Q(f(M/2) - \hat{f}(M/2-1)) + (M/2-1),$$

where

Q denotes quantization of the expression in the adjacent parenthesis,

M denotes the total number of elements in the parametric spectral representation,

$f(M/2)$ denotes the first element in the high-frequency part, and

$\hat{f}(M/2-1)$ denotes the last quantized element in the low-frequency part.

19. The encoder of claim 18, wherein the high-frequency encoder includes a quantized low-frequency subvector flipping unit configured to flip the quantized elements of the low frequency part (f^L) of the parametric spectral representation (f) around the quantized mirroring frequency \hat{f}_m in accordance with:

$$f_{flip}(k) = 2\hat{f}_m - \hat{f}(M/2-1-k), 0 \leq k \leq M/2-1,$$

where $\hat{f}(M/2-1-k)$ denotes quantized element $M/2-1-k$.

20. The encoder of claim 19, wherein the high-frequency encoder includes a flipped element rescaler configured to rescale the flipped elements $f_{flip}(k)$ in accordance with:

$$\hat{f}_{flip}(k) = \begin{cases} (f_{flip}(k) - f_{flip}(0)) \cdot (f_{max} - \hat{f}_m) / \hat{f}_m + f_{flip}(0), & \hat{f}_m > 0.25 \\ f_{flip}(k), & \text{otherwise.} \end{cases}$$

21. The encoder of claim 20, wherein the high-frequency encoder includes a frequency grid rescaler configured to rescale the frequency grids g^i from the frequency grid codebook to fit into the interval between the last quantized element $\hat{f}(M/2-1)$ in the low-frequency part and a maximum grid point value g_{max} in accordance with:

$$\tilde{g}^i = g^i(k) \cdot (g_{max} - \hat{f}(M/2-1)) / (\hat{f}_m - \hat{f}(M/2-1)).$$

22. The encoder of claim 21, wherein the high-frequency encoder includes a weighting unit configured to perform weighted averaging of the flipped and rescaled elements $\hat{f}_{flip}(k)$ and the rescaled frequency grids $\tilde{g}^i(k)$ in accordance with:

$$f_{smooth}^i(k) = [1 - \lambda(k)] \hat{f}_{flip}(k) + \lambda(k) \tilde{g}^i(k)$$

where $\lambda(k)$ and $[1 - \lambda(k)]$ are predefined weights.

23. The encoder of claim 22, wherein the high-frequency encoder includes a frequency grid search unit configured to select a frequency grid g^{opt} , where the index opt satisfies the criterion:

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$$opt = \underset{i}{\operatorname{argmin}} \left(\sum_{k=0}^{M/2-1} (f_{smooth}^i(k) - f^H(k))^2 \right)$$

where $f^H(k)$ is a target vector formed by the elements of the high-frequency part of the parametric spectral representation.

24. The encoder of claim 23, wherein $M=10$, $g_{max}=0.5$, and the weights $\lambda(k)$ are defined as $\lambda = \{0.2, 0.35, 0.5, 0.75, 0.8\}$.

25. The encoder of claim 18, wherein the encoder is configured to perform the encoding on a line spectral frequencies representation of the auto-regressive coefficients.

26. A user equipment (UE) including an encoder in accordance with claim 18.

27. A decoder for decoding an encoded parametric spectral representation (\hat{f}) of auto-regressive coefficients (a) that partially represent an audio signal, said decoder including:

a low-frequency decoder configured to reconstruct elements (\hat{f}^L) of a low-frequency part (f^L) of the parametric spectral representation (f) corresponding to a low-frequency part of the audio signal from at least one quantization index (I_L) encoding that part of the parametric spectral representation;

a high-frequency decoder configured to reconstruct elements (\hat{f}^H) of a high-frequency part (f^H) of the parametric spectral representation by weighted averaging based on the decoded elements (\hat{f}^L) flipped around a decoded mirroring frequency (\hat{f}_m), which separates the low-frequency part from the high-frequency part, and a decoded frequency grid (g^{opt}).

28. The decoder of claim 27, wherein the high-frequency decoder includes a quantized low-frequency subvector flipping unit configured to flip the decoded elements (\hat{f}^L) of the low-frequency part around the mirroring frequency \hat{f}_m in accordance with:

$$f_{flip}(k) = 2\hat{f}_m - \hat{f}(M/2-1-k), 0 \leq k \leq M/2-1$$

where

M denotes the total number of elements in the parametric spectral representation, and

$\hat{f}(M/2-1-k)$ denotes decoded element $M/2-1-k$.

29. The decoder of claim 28, wherein the high-frequency decoder includes a flipped element rescaler configured to rescale the flipped elements $f_{flip}(k)$ in accordance with:

$$\hat{f}_{flip}(k) = \begin{cases} (f_{flip}(k) - f_{flip}(0)) \cdot (f_{max} - \hat{f}_m) / \hat{f}_m + f_{flip}(0), & \hat{f}_m > 0.25 \\ f_{flip}(k), & \text{otherwise.} \end{cases}$$

30. The decoder of claim 29, wherein the high-frequency decoder includes a frequency grid rescaler configured to rescale the decoded frequency grid g^{opt} to fit into the interval between the last quantized element $\hat{f}(M/2-1)$ in the low-frequency part and a maximum grid point value g_{max} in accordance with:

$$\tilde{g}^{opt}(k) = g^{opt}(k) \cdot (g_{max} - \hat{f}(M/2-1)) / (\hat{f}_m - \hat{f}(M/2-1)).$$

31. The decoder of claim 30, wherein the high-frequency decoder includes a weighting unit configured to perform weighted averaging of the flipped and rescaled elements $\hat{f}_{flip}(k)$ and the rescaled frequency grid $\tilde{g}^{opt}(k)$ in accordance with:

$$f_{smooth}(k) = [1 - \lambda(k)] \hat{f}_{flip}(k) + \lambda(k) \tilde{g}^{opt}(k),$$

where $\lambda(k)$ and $[1 - \lambda(k)]$ are predefined weights.

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32. The decoder of claim **31**, wherein $M=10$, $g_{max}=0.5$, and the weights $\lambda(k)$ are defined as $\lambda=\{0.2, 0.35, 0.5, 0.75, 0.8\}$.

33. The decoder of claim **27**, wherein the decoder is configured to perform the decoding on a line spectral frequencies representation of the auto-regressive coefficients. 5

34. A user equipment (UE) including a decoder in accordance with claim **27**.

* * * * *

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UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 9,269,364 B2
APPLICATION NO. : 14/355031
DATED : February 23, 2016
INVENTOR(S) : Grancharov et al.

Page 1 of 2

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the Title Page:

On Page 2, item (56), under "OTHER PUBLICATIONS", in Column 1, Line 1, delete ""Bandwith" and insert -- "Bandwidth --, therefor.

On Page 2, item (56), under "OTHER PUBLICATIONS", in Column 2, Line 1, delete "Bandwith" and insert -- Bandwidth --, therefor.

On Page 2, item (56), under "OTHER PUBLICATIONS", in Column 2, Line 4, delete "Bandwith" and insert -- Bandwidth --, therefor.

On Page 2, item (56), under "OTHER PUBLICATIONS", in Column 2, Line 6, delete "Bandwith" and insert -- Bandwidth --, therefor.

On Page 2, item (56), under "OTHER PUBLICATIONS", in Column 2, Line 7, delete "Moblie" and insert -- Mobile --, therefor.

In the Drawings:

In Fig. 8, Sheet 6 of 10, for Step "S13", in Line 1, delete "LF" and insert -- \hat{f}^L --, therefor.

In Fig. 8, Sheet 6 of 10, for Step "S14", in Line 1, delete "HF" and insert -- \tilde{f}^H --, therefor.

In the Specification:

In Column 3, Line 55, delete "an Line" and insert -- a Line --, therefor.

In Column 3, Line 66, delete "Immitance" and insert -- Immittance --, therefor.

Signed and Sealed this
Eighteenth Day of October, 2016



Michelle K. Lee
Director of the United States Patent and Trademark Office

U.S. Pat. No. 9,269,364 B2

In Column 5, Line 35, delete “resealed” and insert -- rescaled --, therefor.

In Column 6, Line 13, delete “grids k” and insert -- \tilde{g}^i --, therefor.

In Column 6, Line 14, delete “resealing” and insert -- rescaling --, therefor.

In Column 6, Line 19, delete “ f_{smooth} ” and insert -- f^i_{smooth} --, therefor.

In Column 6, Line 40, delete “resealing” and insert -- rescaling --, therefor.

In Column 7, Line 26, delete “resealing” and insert -- rescaling --, therefor.

In Column 10, Line 43, delete “Immitance” and insert -- Immittance --, therefor.

In the Claims:

In Column 11, Line 31, in Claim 3, delete “(f around” and insert -- (f) around --, therefor.

In Column 11, Line 35, in Claim 3, delete “ $0 \leq k \leq M/2-1$,” and insert -- $0 \leq k \leq M/2-1$, --, therefor.

In Column 11, Line 50, in Claim 5,

delete “ $\tilde{g}^i(k) = g^i(k) \cdot (g_{\text{max}}(M/2-1)) + \hat{f}(M/2-1)$,” and

insert -- $\tilde{g}^i(k) = g^i(k) \cdot (g_{\text{max}} - \hat{f}(M/2-1)) + \hat{f}(M/2-1)$, --, therefor.

In Column 13, Line 55, in Claim 24, delete “ $\tilde{g} = g^i(k)$ ” and insert -- $\tilde{g}^i(k) = g^i(k)$ --, therefor.

In Column 14, Line 59, in Claim 30, delete “ $\cdot (g_{\text{max}} \times \hat{f}$ ” and insert -- $\cdot (g_{\text{max}} - \hat{f}$ --, therefor.